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# The effect of the interface oxidation on tunneling conductance of $\text{Co}_2\text{MnSi}/\text{MgO}/\text{Co}_2\text{MnSi}$ magnetic tunnel junction

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## Abstract

We investigate and discuss the effects of interfacial oxidation on electronic structures and tunnel conductance of the  $\text{Co}_2\text{MnSi}/\text{MgO}/\text{Co}_2\text{MnSi}(001)$  magnetic tunnel junction (MTJ) on the basis of first-principles calculations. It is found that the MnSi termination tends to be oxidized compared with the Co termination because of the relaxation of atomic positions in the MnSi-terminated interface. Furthermore, we found that the single oxide layer inserted on both sides of the junction greatly decreases the tunnel conductance of the MTJ in parallel magnetization. We concluded that the relaxation of the Mn atomic position in the oxidized junction reduces the coupling of the  $\Delta_1$  states between the  $\text{Co}_2\text{MnSi}$  electrode and the MgO barrier and causes significant interfacial scattering of the majority-spin electrons with  $\Delta_1$  symmetry at the oxidized layer.

(Some figures in this article are in colour only in the electronic version)

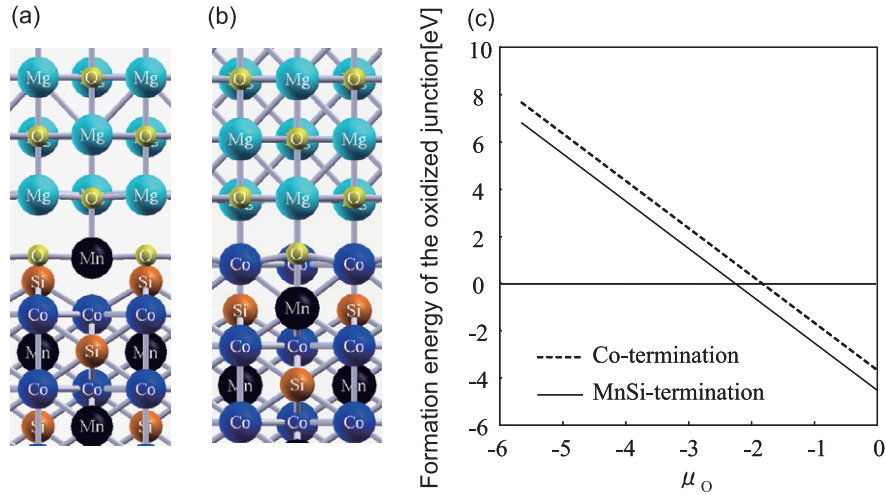
## 1. Introduction

The tunneling magnetoresistance (TMR) [1–3] of magnetic tunnel junctions (MTJs) consisting of ferromagnet/insulator/ferromagnet sandwiches has attracted strong scientific interest, owing to their potential application in spintronics, such as magnetoresistive random access memory (MRAM) and the read-out head of hard disk drives. Recently, Butler *et al* predicted a TMR ratio as high as 6000% for the Fe/MgO/Fe(001) MTJ using the first-principles layer–KKR method [4]. They found that the decay of the tunneling wavefunction of  $\Delta_1$  states is very slow compared with that of  $\Delta_2$  and  $\Delta_5$  states in the MgO barrier. Furthermore, bcc-Fe is half-metallic on the  $\Delta_1$  band, providing a very large TMR in the Fe/MgO/Fe(001) MTJ. This theory has been confirmed by several experimental groups and a much larger TMR was obtained at room temperature (RT) [5, 6]. In addition, the TMR ratio was increased to 500% at RT for the MTJ with CoFeB electrodes and MgO barrier [7].

While the Fe/MgO/Fe(001) MTJ and related systems is applied to the MRAM, lower resistance is required for the

TMR devices to achieve ultra-high speed and high density in future spintronics applications. To this end, one needs a tunneling junction with a thin MgO barrier. However, the TMR of Fe/MgO/Fe(001) and related systems rapidly decreases with decreasing MgO barrier thickness, owing to the contribution of the minority-spin  $\Delta_5$  and  $\Delta_2$  states to the tunneling conductance through a thin MgO barrier. Half-metallic ferromagnets (HMFs) [8], which show complete (100%) spin polarization at the Fermi level, are expected to overcome this problem, because the MTJ with half-metallic electrodes and MgO barrier (HMF/MgO/HMF) can suppress the tunneling from the minority-spin  $\Delta_5$  and  $\Delta_2$  states in such a thin MgO barrier. Recently, MgO-based MTJs with Co-based full-Heusler alloy electrodes ( $\text{Co}_2\text{Fe}(\text{Al}, \text{Si})$ ,  $\text{Co}_2(\text{Cr}, \text{Fe})\text{Al}$  and  $\text{Co}_2\text{MnSi}$ ) have been fabricated, and the TMR has steadily improved [9–11].

In MTJs, electronic and magnetic properties of the interfacial region of ferromagnetic electrodes with an insulating barrier play an important role in the spin-dependent tunneling characteristics. In particular, oxidation of ferromagnetic electrodes near interfaces degrades the



**Figure 1.** Magnification of the cross-sectional view of the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  interfacial structures with a single oxide layer after relaxation of the atomic positions for (a) Co- and (b) MnSi-terminated  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  interface. (c) The formation energy of the oxidized  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junctions for the MnSi termination (solid line) and the Co termination (dashed line) as a function of  $\mu_{\text{O}}$  within the thermodynamically allowed range  $\mu_{\text{MgO}} - \mu_{\text{Mg}(\text{hcp})} \leq \mu_{\text{O}} \leq \mu_{\text{O}_2}$  (see the text).

efficiency of TMR devices. For example, first-principles calculation results of the  $\text{Fe}/\text{MgO}/\text{Fe}$  MTJ [12] show that a single layer of iron oxide at the interface between the Fe electrode and the MgO barrier greatly reduces the tunneling conductance and the TMR owing to reduction of the coupling of the  $\Delta_1$  state of the Fe layer to the MgO layer. Since the Co-based full-Heusler alloys such as  $\text{Co}_2\text{MnSi}$  also have the  $\Delta_1$  band around the Fermi level in the majority-spin state [13], the presence of Mn-oxide and Co-oxide layers between the  $\text{Co}_2\text{MnSi}$  electrode and the MgO barrier will degrade the coherent tunneling through the  $\Delta_1$  channel of the MTJ in parallel magnetization. In this work, we theoretically investigate the effects of oxidation in the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction on the electronic and transport properties of the MTJ by using first-principles electronic structure calculations and conductance calculations [15].

## 2. Computational details

We perform first-principles calculations for supercells consisting of  $\text{Co}_2\text{MnSi}$  and MgO, using the density functional theory within the generalized-gradient approximation for the exchange–correlation energy [16]. We adopt plane-wave basis sets along with the ultra-soft pseudopotential method by using the quantum code ESPRESSO [15]. The number of  $\mathbf{k}$  points is taken to be  $10 \times 10 \times 1$  for all cases, and Methfessel–Paxton smearing with a broadening parameter of 0.01 Ryd is used. The cutoff energy for the wavefunction and charge density is set to 30 Ryd and 300 Ryd, respectively.

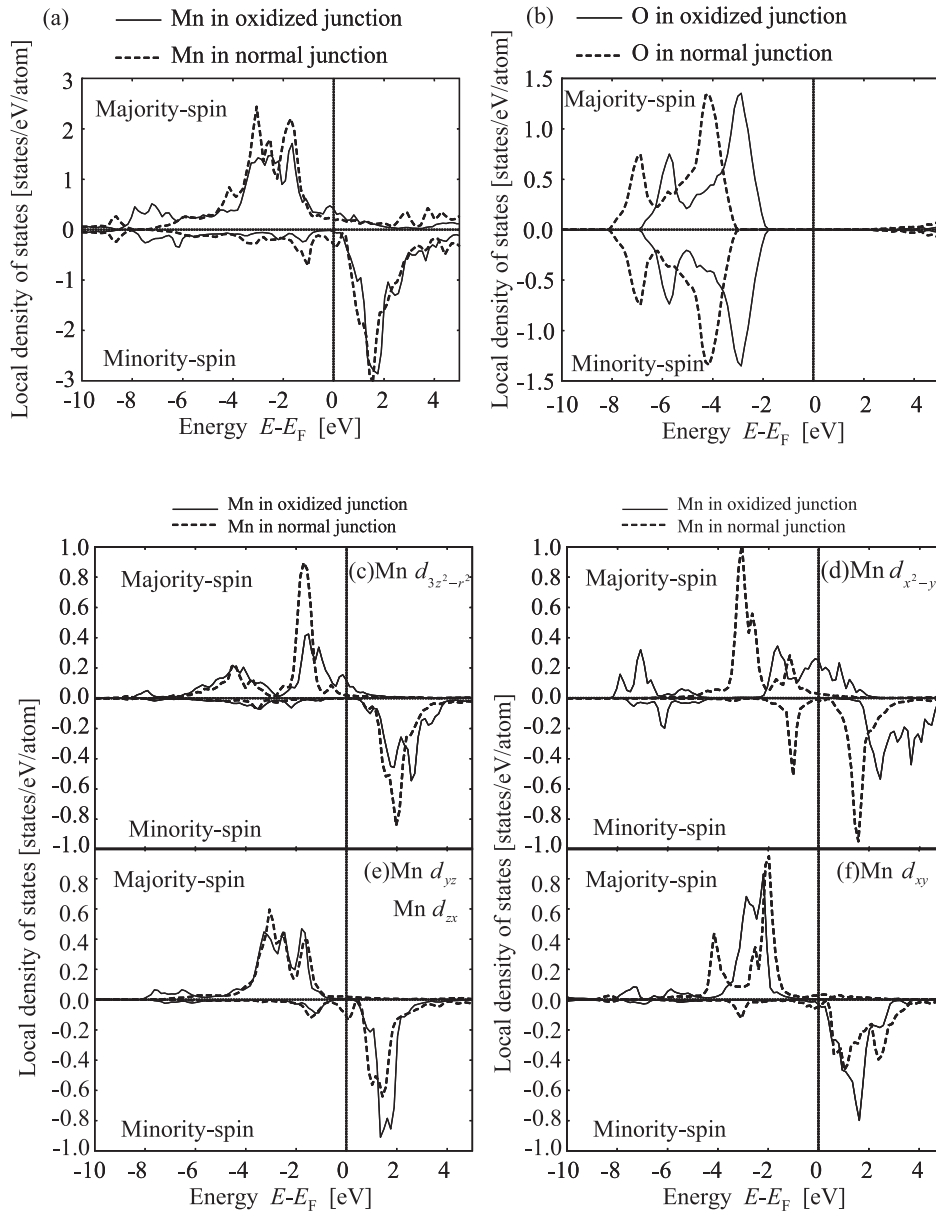
For conductance calculations, we consider an open quantum system consisting of a scattering region corresponding to MgO and a junction with  $\text{Co}_2\text{MnSi}$  attached to left and right semi-infinite electrodes corresponding to bulk  $\text{Co}_2\text{MnSi}$  [13, 14]. The potential in the scattering equation can be obtained from the self-consistent electronic structure calculations for the supercell containing a left and a scattering

region. Since our system is repeated periodically in the  $xy$  plane and propagating states can be assigned by an in-plane wavevector  $k_{\parallel} = (k_x, k_y)$  index, different  $k_{\parallel}$  do not mix and can be treated separately. Furthermore, our approach neglects the spin–orbit interaction and noncollinear spin configuration. We solve scattering equations for some fixed  $k_{\parallel}$  and spin index on the basis of Choi and Ihm’s approach [17, 18].

## 3. Results and discussions

Our  $\text{Co}_2\text{MnSi}/\text{MgO}/\text{Co}_2\text{MnSi}(001)$  MTJ is constructed in a tetragonal supercell, where the in-plane lattice parameter of the supercell is fixed at 3.99 Å. These values correspond to  $a_0/\sqrt{2}$ , where  $a_0$  is the lattice constant of the bulk  $\text{Co}_2\text{MnSi}$  (5.65 Å). The lattice mismatches between  $\text{Co}_2\text{MnSi}$  and MgO on the  $45^\circ$  in-plane rotation at the (001) face are 5.1%. The  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  interface has two types of termination on  $\text{Co}_2\text{MnSi}$ , namely the Co termination and the MnSi termination. We prepare the supercell from multilayers containing nine atomic layers of MgO and 17 and 15 atomic layers of  $\text{Co}_2\text{MnSi}$  for the Co- and MnSi-terminated interfaces, respectively.

First, we minimized the total energy of the supercell by relaxing interfacial atomic positions by changing the longitudinal size of the supercell in order to determine the stable configuration for each termination in  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junctions. We obtained the O-top configuration where atoms terminating  $\text{Co}_2\text{MnSi}$  are positioned on top of the O atoms of the  $\text{MgO}(001)$  surface. The interfacial Co–O distance in the Co termination is rather small  $\sim 2.00$  Å for the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junctions. On the other hand, the interfacial Mn–O and Si–O distances in the MnSi termination are 2.25 Å and 3.17 Å, respectively. We consider that the difference in the covalent bond radius of the Mn (1.39 Å) atom and Si (1.11 Å) atom causes different interfacial distances of Mn–O and Si–O in the MnSi termination [14].



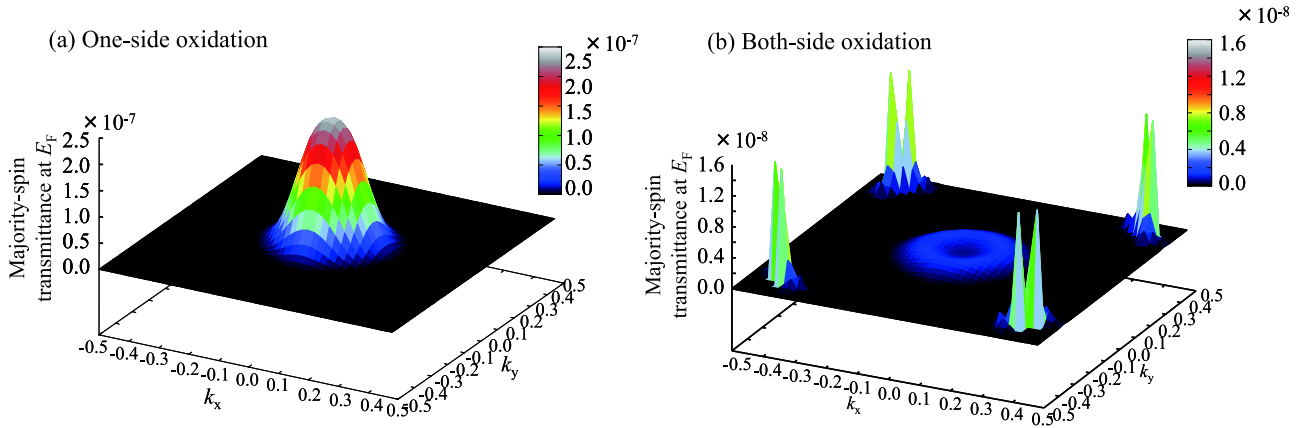
**Figure 2.** The local density of states (LDOS) of interfacial (a) Mn (total), (b) O in the interior region (total), (c) Mn  $3d_{3z^2-r^2}$ , (d) Mn  $3d_{x^2-y^2}$ , (e) Mn  $3d_{yz}$  or  $3d_{zx}$ , and (f) Mn  $3d_{xy}$  in the oxidized  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction as a function of energy relative to the Fermi energy. The LDOS in the normal (non-oxidized) junction is also shown for reference by a dashed line in each figure. The sign of LDOS indicates the majority-spin (positive) and minority-spin (negative) states.

Then, we put two oxygen atoms between the  $\text{Co}_2\text{MnSi}$  electrode and the  $\text{MgO}$  barrier on top of the Mg atoms in order to form a single oxide layer on both sides of the junction, and minimize the total energy of the supercell by relaxing interfacial atomic positions. Figure 1 shows the cross-sectional view of the oxidized  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junctions after relaxation of atomic positions for (a) MnSi and (b) Co terminations. In order to compare the stability of the single oxide layer for each termination, we calculate the formation energy of the oxidized junction defined by

$$E_{\text{form}}^{\text{oxide}} = E_{\text{tot}}^{\text{oxide}} - E_{\text{tot}}^{\text{normal}} - N_{\text{O}}\mu_{\text{O}} \quad (1)$$

where  $E_{\text{tot}}^{\text{oxide}}$  ( $E_{\text{tot}}^{\text{normal}}$ ) are the total energy of the supercell with (without) a single oxide layer for each termination,  $N_{\text{O}}$

is the difference in the number of oxygen atoms between the oxidized junction and the normal junction and  $\mu_{\text{O}}$  is the chemical potential of the oxygen atom. Since the supercell with the oxidized junction is non-stoichiometric only on O atoms as compared to the supercell of the normal junction, the  $E_{\text{form}}^{\text{oxide}}$  can be expressed as a function of  $\mu_{\text{O}}$  only. In addition, the chemical potential of the constituent atoms in the thermodynamic equilibrium condition cannot exceed the corresponding one of the elemental phase, i.e. the upper limit of  $\mu_{\text{O}}$  can be derived from the total energy of an oxygen molecule per atom ( $\mu_{\text{O}_2}$ ). Furthermore, assuming the thermodynamic equilibrium condition on  $\text{MgO}$ , i.e.  $\mu_{\text{MgO}} = \mu_{\text{Mg}} + \mu_{\text{O}}$  and taking  $\mu_{\text{MgO}}$  from the bulk structure, we can determine the lower limit of  $\mu_{\text{O}}$  as  $\mu_{\text{O}} \geq \mu_{\text{MgO}} - \mu_{\text{Mg}}$ .



**Figure 3.** In-plane wavevector  $k_{\parallel} = (k_x, k_y)$  dependence of majority-spin transmittance at the Fermi level for (a)  $\text{Co}_2\text{MnSi}/\text{O}/\text{MgO}(9)/\text{Co}_2\text{MnSi}(001)$  MTJ (one-side oxidation), (b)  $\text{Co}_2\text{MnSi}/\text{O}/\text{MgO}(9)/\text{O}/\text{Co}_2\text{MnSi}(001)$  MTJ (both-sides oxidation) in parallel magnetization.

Here, the upper limit of  $\mu_{\text{Mg}}$  is again derived from the total energy of the bulk hcp structure ( $\mu_{\text{Mg}(\text{hcp})}$ ). Thus, the  $E_{\text{form}}^{\text{oxide}}$  is expressed within the thermodynamically allowed range  $\mu_{\text{MgO}} - \mu_{\text{Mg}(\text{hcp})} \leq \mu_{\text{O}} \leq \mu_{\text{O}_2}$ .

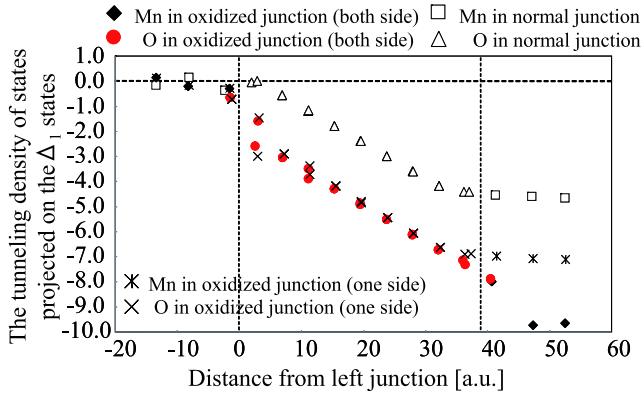
In figure 1(c), we report the calculated formation energy of the oxidized junction for the MnSi and Co terminations as a function of  $\mu_{\text{O}}$ . The negative value of  $E_{\text{form}}^{\text{oxide}}$  indicates that the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction is oxidized at the given  $\mu_{\text{O}}$ , which is relevant to the oxygen atom content of the system. As can be seen in figure 1(c), the  $E_{\text{form}}^{\text{oxide}}$  shows negative values in the high  $\mu_{\text{O}}$  region. This means that control of the oxygen atom content will be required during fabrication of the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction. Furthermore,  $E_{\text{form}}^{\text{oxide}}$  of the MnSi termination is smaller than that of the Co termination, indicating that the MnSi-terminated interface is easy to be oxidized compared with the Co-terminated interface. Because the MnSi termination has a large interstitial region owing to the relaxation of Si atomic position into the Co atom side, the MnSi-terminated interface easily incorporates oxygen atoms. On the other hand, the Co-terminated interface has strong bonding with MgO, and the incorporation of oxygen atoms involves energy loss as compared to the MnSi termination. This suggests that we can control interfacial oxidation in the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction by modulating its termination.

Figure 2(a) shows the majority- and minority-spin local density of states (LDOS) of the interfacial Mn atom in the oxidized junction (solid line) as a function of energy relative to the Fermi energy. The LDOS of the interfacial Mn atom in the normal junction (dashed line) are shown for reference. We can find in figure 2(a) that the overall shape of the LDOS of the interfacial Mn atom hardly changes after oxidation. The local charge around the interfacial Mn atom within the covalent bond radius (1.39 Å) is about 6.0 in the oxidized junction, while it is about 6.3 in bulk  $\text{Co}_2\text{MnSi}$ . Furthermore, the local moments of the interfacial Mn are  $4.06\mu_{\text{B}}$  at the normal junction and  $3.99\mu_{\text{B}}$  at the oxidized junction, which are both increased from the local moment of Mn ( $3.31\mu_{\text{B}}$ ) in bulk  $\text{Co}_2\text{MnSi}$ . These results indicate that a single layer of oxidation does not significantly affect the electronic configuration of the

interfacial Mn atom compared to the normal junction as a result of the charge screening effect from the  $\text{Co}_2\text{MnSi}$  electrode. We consider that several layers of oxidation will be necessary to obtain an electronic configuration appearing in the bulk Mn oxide.

Then, we present in figures 2(c)–(f) the majority- and minority-spin LDOS projected to each Mn 3d orbital in the oxidized junction (solid line) as a function of energy relative to the Fermi energy. The corresponding LDOS in the normal junction (dashed line) is shown for reference. It is found that effects of the single oxide layer are significant in the LDOS of Mn  $3d_{3z^2-r^2}$ ,  $3d_{x^2-y^2}$  and  $3d_{xy}$ . Since additional oxygen atoms are positioned in the same  $xy$  plane with the interfacial Mn atom (see figure 1(a)), Mn  $3d_{x^2-y^2}$  and  $3d_{xy}$  hybridize with O  $2p_x$  and  $2p_y$ , leading to changes in the LDOS of Mn  $3d_{x^2-y^2}$  and  $3d_{xy}$  in the oxidized junction. Furthermore, we can find in figure 2(d) a characteristic reduction of the LDOS peak at  $E - E_{\text{F}} \sim -0.8$  eV for Mn  $3d_{3z^2-r^2}$  in the oxidized junction compared to those in the normal junction. This can be attributed to the relaxation of the interfacial Mn atom in the oxidized junction, where the Mn atom moves away from the subinterfacial Co atoms (see figure 1(a)). In fact, the interfacial Co–Mn distance in the oxidized junction is increased to 2.91 Å compared to that in the normal junction (2.58 Å). This atomic relaxation weakens the bonding between the interfacial Mn and subinterfacial Co atoms, and reduces the LDOS peaks in the Mn  $3d_{3z^2-r^2}$  at the corresponding energy. Since the  $3d_{3z^2-r^2}$  orbital has the  $\Delta_1$  symmetry, the change of the Mn  $3d_{3z^2-r^2}$  wavefunction at the oxidized junction will influence the coherent tunneling through the  $\Delta_1$  channel of the MTJ. We confirmed that the majority-spin  $\Delta_1$  band around the Fermi level is mainly composed of Mn- $3d_{3z^2-r^2}$  and Si-s.

To look at how the single oxide layer at the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction affects the transport properties of the MTJ, we calculate the tunneling conductance of the  $\text{Co}_2\text{MnSi}/\text{O}/\text{MgO}/\text{Co}_2\text{MnSi}(001)$  MTJ (one-side oxidation) and the  $\text{Co}_2\text{MnSi}/\text{O}/\text{MgO}/\text{O}/\text{Co}_2\text{MnSi}(001)$  MTJ (both-sides oxidation) at the Fermi level in parallel magnetization. Figures 3(a) and (b) plot the majority-spin transmittance for



**Figure 4.** The majority-spin tunneling density of states projected on the  $\Delta_1$  states at the Fermi level as a function of the distance from the left  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  interface for the normal junction (open triangle and square), the junction with one-side oxidation ( $\times$  and  $*$ ) and the junction with both-sides oxidation (filled circle and diamond) in parallel magnetization. The scale of the vertical axis is logarithmic.

the oxidized MTJ as a function of the in-plane wavevector  $k_{\parallel} = (k_x, k_y)$ . As can be seen in figure 3(a), the  $k_{\parallel}$  dependence of transmittance for one-side oxidation has a broad peak centered at  $k_{\parallel} = (0, 0)$  owing to the coherent tunneling through the  $\Delta_1$  channel, which is similar to that of the normal junction, i.e.  $\text{Co}_2\text{MnSi}/\text{MgO}/\text{Co}_2\text{MnSi}(001)$  MTJ [14]. On the other hand, the  $k_{\parallel}$  dependence of transmittance for both-sides oxidation has no peak at  $k_{\parallel} = (0, 0)$  and shows spiky structures in the two-dimensional (2D) Brillouin zone, which were the so-called *hot spot* conductance and attributed to the resonant tunneling between the interface states [19]. These results indicate that one-side oxidation does not change the  $k_{\parallel}$  dependence of transmittance, while both-sides oxidation significantly reduces the tunneling conductance through  $\Delta_1$ .

The averaged conductance over the 2D Brillouin zone for the MTJ with an oxidized junction is about  $1.02 \times 10^{-10} G_0$  for one-side oxidation and  $2.67 \times 10^{-10} G_0$  for both-sides oxidation, which are smaller than  $1.54 \times 10^{-6} G_0$  for the MTJ with the normal junction, where  $G_0$  is in  $e^2/h$  units. It is pointed out by Belashchenko *et al* and Velev *et al* that an asymmetric junction reduces the tunneling conductance compared with the symmetric one because the resonant tunneling occurs only at the two identical interfaces [20–22]. In our results, the resonant tunneling is suppressed in the junction with one-side (asymmetric) oxidation. However, the coherent tunneling through the  $\Delta_1$  channel is still effective, producing a larger conductance compared with both-sides (symmetric) oxidation.

To confirm the behaviors of the tunneling wavefunction in the MTJ with the oxidized junction, we calculate the projected density of states of the tunneling wavefunction (TDOS) on the  $\Delta_1$  states. Figure 4 shows the majority-spin TDOS at the Fermi level as a function of the distance from the left  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction for the MTJ in parallel magnetization. We can find an abrupt drop of the TDOS at the oxidized junction, leading to two orders of magnitude smaller TDOS compared with that of the normal junction in the interior

region of the MgO barrier. It is clear evidence of significant interfacial scattering of the majority-spin electrons with  $\Delta_1$  symmetry at the oxidized  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  interface. The decay rate of the TDOS in the interior region of the MgO barrier is almost the same for both the oxidized junction and the normal junction. This means that very small conductance in the oxidized junction can be attributed mainly to reduced coupling of the  $\Delta_1$  states of the  $\text{Co}_2\text{MnSi}$  electrode to the MgO barrier at the interface. The TDOS of the junction with both-sides oxidation again drops by two orders of magnitude, which acts to disturb the coherent tunneling through the  $\Delta_1$  channel. We consider that the relaxation of the interfacial Mn atom in the oxidized junction is a main contributing factor of the reduction of the coupling of the  $\Delta_1$  states.

Another possible mechanism for the reduction of the tunneling conductance is due to elimination of states around the gamma point by interface states and the creation of an additional tunneling barrier for the states with small values of the transverse momenta [23, 24]. In figure 2(b), we show the LDOS of O atoms in the interior region of the MgO barrier and estimate the tunneling barrier height  $V_{\text{barrier}}$  from the conduction band bottom of the LDOS of interior O atoms. We obtain about  $V_{\text{barrier}} \approx 3.0$  eV for the oxidized junction (both-sides oxidation) and  $V_{\text{barrier}} \approx 2.0$  eV for the normal junction. In the free-electron model, the barrier height contributes to the decay of the wavefunction at the barrier region with a  $\sim \sqrt{V_{\text{barrier}}}$  factor for all states. We consider that the increase of the tunneling barrier in the oxidized junction also contributes to the reduction of the tunneling conductance especially for states with  $k_{\parallel} \neq 0$ .

Finally, we discuss the effects of oxidation on the TMR ratio of the MTJ. In our calculations, the spin-flip process is not included and the minority-spin transmittance at the Fermi energy becomes zero owing to the half-metallic character of bulk  $\text{Co}_2\text{MnSi}$ . This leads to totally zero transmittance at the Fermi energy for the MTJ with anti-parallel magnetization. However, as is discussed by Popescu *et al* and Chantis *et al*, the spin-flip process through the spin-orbit coupling affects the tunneling conductance of the MTJ [25, 26]. Furthermore, Mavropoulos, *et al* pointed out that spin-flip processes such as magnon excitations and inelastic scattering through interface states produce the tunneling conductance in the anti-parallel magnetization at RT [27]. We consider that the oxidation of the MTJ has two factors in the reduction of the TMR ratio. One is the decrease of tunneling conductance in parallel magnetization (see figure 4), while the other is the increase of the tunneling conductance in anti-parallel magnetization. Our results show that LDOSs of the oxidized junction have additional states in the minority-spin gap. This would contribute to the tunneling conductance if the spin-flip processes at the interface are included.

#### 4. Summary

We have investigated effects of interface oxidation in the  $\text{Co}_2\text{MnSi}/\text{MgO}(001)$  junction on the electronic structure and the tunnel conductance of the MTJ on the basis of first-principles calculations. We found that the MnSi-terminated

interface is easy to be oxidized compared with the Co-terminated interface because of the relaxation of atomic positions in the MnSi-terminated interface. The overall shape of the LDOS of the interfacial Mn atom hardly changes after oxidation, indicating that a single layer of oxidation does not significantly affect the electronic configuration of the interfacial Mn atom compared with the normal junction as a result of the charge screening effect from the Co<sub>2</sub>MnSi electrode. It is considered that several layers of oxidation will be necessary to obtain an electronic configuration appearing in the bulk Mn oxide. The transmittance of the MTJ with one-side oxidation shows a coherent tunneling through the  $\Delta_1$  channel, indicating that one-side oxidation does not significantly affect the transport properties of the MTJ. However, both-sides oxidation produces the resonant tunneling and considerably decreases the tunnel conductance of the MTJ in parallel magnetization. These results indicate that suppression of both-sides oxidation at the MTJ is crucial in the Co<sub>2</sub>MnSi/MgO/Co<sub>2</sub>MnSi(001) MTJ.

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